FORM PTO-1390 (Modified) U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE (REV. 12-2004)

## TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER

0306670-US

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

10/520366

ERNATIONAL APPLICATION NO. PCT/DE2003/002533

INTERNATIONAL FILING DATE 23 July 2003

PRIORITY DATE CLAIMED 24 July 2002

**E OF INVENTION** 

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ethod and System for Processing Carrier Materials by Heavy Ion Irradiation and Subsequent Etching.

|   | ĀNī         | T(S) FOR DO/EO/US   |
|---|-------------|---|
|   | ęr,         | Manfred   |
|   |             |   |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: |             |   |
| 1.  | $\boxtimes$ | This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.  |
| 2.  |             | This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.   |
| 3.  | ×           | This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below. |
| 4.  | $\boxtimes$ | The US has been elected (Article 31).   |
| 5.  | $\boxtimes$ | A copy of the International Application as filed (35 U.S.C. 371 (c)(2))   |
|   |             | a.  is attached hereto (required only if not communicated by the International Bureau).   |
|   |             | b. 🗵 has been communicated by the International Bureau.   |
|   |             | c. $\Box$ is not required, as the application was filed in the United States Receiving Office (RO/US).  |
| 6.  | $\boxtimes$ | An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).  |
|   |             | a. 🗵 is attached hereto.  |
|   |             | b.  has been previously submitted under 35 U.S.C. 154(d)(4).  |
| 7.  | $\boxtimes$ | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))   |
| •   |             | a.   are attached hereto (required only if not communicated by the International Bureau).   |
|   |             | b. 🗵 have been communicated by the International Bureau.  |
| Ť   |             | c. $\Box$ have not been made; however, the time limit for making such amendments has NOT expired.   |
|   |             | d. $\Box$ have not been made and will not be made.  |
| 8.  | $\boxtimes$ | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).   |
| 9.  | $\boxtimes$ | An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).   |
| 10.   |             | An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).                   |
| 11.   | $\boxtimes$ | A copy of the International Preliminary Examination Report (PCT/IPEA/409).  |
| 12.   | $\boxtimes$ | A copy of the International Search Report (PCT/ISA/210).  |
| Items 13 to 23 below concern document(s) or information included:   |             |   |
| 13.   |             | An Information Disclosure Statement under 37 CFR 1.97 and 1.98.   |
| 14.   |             | An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.   |
| 15.   | $\boxtimes$ | A FIRST preliminary amendment.  |
| 16.   |             | A SECOND or SUBSEQUENT preliminary amendment.   |
| 17.   |             | A substitute specification.   |
| 18.   | $\boxtimes$ | A power of attorney and/or change of address letter.  |
| 19.   |             | A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.  |
| 20.   |             | A second copy of the published International Application under 35 U.S.C. 154(d)(4).   |
| 21.   |             | A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).   |
| 22.   | $\boxtimes$ | Express Mail Label No. ED 419668720 US  |
| 23.   | $\boxtimes$ | Other items or information:   |
|   |             | Post Card Receipt   |
|   |             |   |
|   |             |   |

10/520366 DT15 Rec'd PCT/PTO 06 JAN 2005

CERTIFICATE OF MA G BY "EXPRESS MAIL" (37 CFR Docket No. Applicant(s): Danziger, Manfred -040670-US--Application No. Filing Date Examiner Customer No. **Group Art Unit** 4 January 2005 30234 **PCT** Invention: Method and System for Processing Carrier Materials by Heavy Ion Irradiation and Subsequent Etching I hereby certify that the following correspondence: An Application to Commence the U.S. National Phase of PCT/DE2003/002533 (Identify type of correspondence) is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on 4 January 2005 (Date) Karl Hormann (Typed or Printed Name of Person Mailing Correspondence) (Signature of Person Mailing Correspondence) ED 419668720 US ("Express Mail" Mailing Label Number) Note: Each paper must have its own certificate of mailing.

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO. ATTORNEY'S DOCKET NUMBER PCT/DE2003/002533 0306670-US 24. The following fees are submitted: Applicant use Office use  $\boxtimes$ \$300.00 \$300.00 × \$200.00 \$200.00 × c) Search fee ..... \$500.00 \$500.00 TOTAL OF ABOVE CALCULATIONS = \$1000.00 \$1,000.00 Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. **Total Sheets** Extra Sheets Number of each additional 50 or RATE fraction thereof (round up to a whole 38 - 100 = -62 \$ \$0.00 Surcharge of \$130.00 for furnishing the oath or declaration later than months from the \$ earliest claimed priority date (37 CFR 1.492(e)). **NUMBER FILED** CLAIMS NUMBER EXTRA RATE Total claims 9 - 20 = х \$18.00 \$0.00 Independent claims 2 - 3 = 0 X \$86.00 \$0.00 MULTIPLE DEPENDENT CLAIMS (if applicable) \$0.00 **TOTAL OF ABOVE CALCULATIONS =** \$1,000.00 Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are \$ \$500.00 reduced by 1/2. SUBTOTAL = \$500.00 Processing fee of \$130.00 for furnishing the English translation later than 30 months from \$ \$0.00 the earliest claimed priority date (37 CFR 1.492(f)). \$ \$500.00 TOTAL NATIONAL FEE = Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be \$ \$0.00 accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property TOTAL FEES ENCLOSED = \$500.00 Amount to be refunded: Amount to be charged: \$ a. A check in the amount of \$ \$500.00 to cover the above fees is enclosed. Please charge my Deposit Account No. in the amount of to cover the above fees. A duplicate copy of this sheet is to Deposit Account No. . A duplicate copy of this sheet is enclosed. d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. Kuns SEND ALL CORRESPONDENCE TO: Law Offices of Karl Hormann **SIGNATURE** P.O. Box 381516 Karl Hormann Cambridge MA 02238-1516 NAME Tel.: (617)-491-8867 26,470 **REGISTRATION NUMBER**